

Day 1: Monday, April 25, 2016

7:30-9:00 Registration and Networking Breakfast - <i>Room: Independence Ballroom</i>				
	GRC Workshops These interactive training sessions will provide attendees with actionable guidelines, methodologies, and best practices in managing their GRC Program	The Government Track This track is specially designed for risk, compliance, and audit practitioners in government agencies and federal departments	IT Track This track will address how technological innovations and advancement are influencing Information Security and Risk Management	MetricStream Special Interest Group (mSIG) Meetings These are focus group discussions led by MetricStream users and are open only to MetricStream customers
9:00-9:55	Workshop Time: 9:00- 10:30 Ethical Culture: Identifying, Understanding & Influencing it Jason Lunday , Consultant, Integrity Factor Stephanie Jenkins , Associate Director, MetricStream <i>Room: Capitol</i>	Track Keynote Implementing an ERM Program – What Government Can Learn from the Private Sector Ken Phelan , CRO, US Department of Treasury <i>Room: Archives</i>	Track Keynote Making Advances in Cybersecurity and Communicating Effectively to CEOs and Boards John Pescatore , Director of Emerging Security Trends, SANS Institute <i>Room: Liberty Ballroom</i>	mSIG Meeting Supplier Governance and Third Party Risk Management Chair: Mark Mitchell , SVP, MetricStream <i>Room: Monument</i>
10:00-10:55	Workshop Time: 10:30- 12:00 GRC Transformation: The People Factor Lisa Rawls , KPMG Advisory Managing Director, ERM & GRC Salman Ali , KPMG Advisory Director, ERM & GRC <i>Room: Capitol</i>	Panel Discussion Responsibility for Risk Management/Internal Controls including an IG's Perspectives on ERM Bruce Edwards , CAE, DOT- Federal Motor Carrier Safety Administration Joe Schmitz , Partner, Schmitz & Socarras LLP Ralph Nebbioso , Associate Director, Adx Corporation Moderator: Jesse Sanders , Account Executive, MetricStream <i>Room: Archives</i>	Panel Discussion The Changing Threat Landscape: Cybersecurity in the Cloud Era Ernest Wohnig , Senior Global Cybersecurity Advisor, The AES Corporation Brent Houlahan , CISO, Unisys Rob Younce , Lead Auditor, VP, Vendor Information Risk Management, BNY Mellon John Pescatore , Director of Emerging Security Trends, SANS Institute Moderator: Vidya Phalke , CTO, MetricStream <i>Room: Liberty Ballroom</i>	mSIG Meeting Regulatory Compliance Management Chair: Terence Lee Regional VP, MetricStream <i>Room: Monument</i>
11:00-11:55	For Industry practitioners and end-users only. Not open to MetricStream partners and consultants	Panel Discussion Leveraging an Enterprise GRC Approach to Improve ERM. What are the Best Practices for a Government Agency? Bernice Lemaire , Chief Auditor and MCD Division Manager, Pension Benefit Guaranty Corporation Robert F. Brese , VP, Executive Partner, US Public Sector, Gartner Inc. Vladmir Antikarov , Principal at Vereva Group Moderator: Michael McGeehan , Senior Director, MetricStream <i>Room: Archives</i>	Expert Talk The Impact of Disruptive Technologies French Caldwell , Chief Evangelist, MetricStream <i>Room: Liberty Ballroom</i>	mSIG Meeting GRC Journey Chair: Yo Delmar , VP, MetricStream <i>Room: Monument</i>
				mSIG Meeting Internal Audit Chair: Kristen Gantt , Regional VP, MetricStream <i>Room: Supreme Court</i>
				mSIG Meeting Risk Management Chair: Susan Palm , SVP, MetricStream <i>Room: Supreme Court</i>
				mSIG Meeting Policy and Document Management Chair: Stephanie Jenkins , Associate Director, MetricStream <i>Room: Supreme Court</i>

AGENDA

12:00-1:00	Networking Lunch - <i>Room: Independence Ballroom</i>				
1:00-1:30	Opening Remarks: Shellye Archambeau , CEO, MetricStream <i>Room: Liberty Ballroom</i>				
1:30- 2:00	Opening Keynote: Admiral Mike Mullen , 17th Chairman of the Joint Chiefs of Staff <i>Room: Liberty Ballroom</i>				
2:00-2:30	Mastermind Interview Admiral Mike Mullen , 17th Chairman of the Joint Chiefs of Staff French Caldwell , Chief Evangelist, MetricStream				
2:30-3:20	Panel Discussion Leading with GRC: Board Room & CXO panel Shellye Archambeau , CEO, MetricStream & Board Director at Verizon Communications and Nordstrom Kenneth Bacon , Co-Founder and Managing Partner, RailField Partners, Board Director at Comcast Rodney Slater , Partner, Squire Patton Boggs; Former United States Secretary of Transportation, Board Director at Verizon Communications Candace Duncan , Former managing partner at KPMG, Board Director at Discover Financial Services (DFS), FTD Companies, Inc., (FTD) and Teleflex (TFX) Moderator: Bill Coffin , Editor in Chief, Compliance Week <i>Room: Liberty Ballroom</i>				
3:20-3:50	Networking Break - <i>Room: Independence Ballroom</i>				
	GRC Workshop	The Government Track	IT Track	GRC Track	App Showcase
3:50- 4:40	Time:3:50-5:30 Workshop Building a High Value, Sustainable GRC Program Yo Delmar , VP, MetricStream Anthony Metzler , Project Director, MetricStream <i>Room: Monument</i>	Expert Talk Putting in Place an IT GRC Program to Manage Cybersecurity Dr. Dale W. Meyerrose , President of the MeyerRose Group, LLC <i>Room: Archives</i>	Expert Talk Data Ethics and Compliance in the Era of Big Data Kirk Borne , Principal Data Scientist, Booz Allen Hamilton <i>Room: Liberty Ballroom</i>	Panel Discussion Impact of Anti-Corruption Regulations and Compliance in Today's Global Business Environment Heather Morgan , Director of Products, Risk and Compliance, Dow Jones Risk and Compliance Hector Bladuell , Trial Attorney, U.S. Department of Justice, Criminal Division Asset Forfeiture & Money Laundering Section Colleen Lyons , Ethics Advisor, A Fortune 100 Aerospace company French Caldwell , Chief Evangelist MetricStream Moderator: Maria Devassy , Director, MetricStream <i>Room: Capitol & Congress</i>	App Showcase Enterprise Risk Management App Aneesh Bhatnagar , Director, MetricStream Pari Sawant , Senior Director, MetricStream <i>Room: Supreme Court</i>
4:45: 5:35		Panel Discussion Federal Contracting: A View on Ethics and Compliance. What you Need to Know to Help Mitigate Risks Colleen Lyons , Ethics Advisor, A Fortune 100 Aerospace company Matt Koehl , Partner, Pepper Hamilton Jim Lager , Deputy Ethics Counselor, US Government Accountability Office Moderator: Kurt Reindl , Associate VP, MetricStream <i>Room: Archives</i>	Presentation New Challenges in Managing Cyber Crime Dr. Shawn P. Murray , Principal Scientist, Northrop Grumman IS <i>Room: Liberty Ballroom</i>	Audit Panel The Role of Audit in the CISO's office Paul Lee , SVP- Internal Audit, Washington Federal Faizal Chaudhury , VP Internal Audit, Sallie Mae Ashoke Dutt , CEO, Semantifi Moderator: Brenda Boulwood , SVP, MetricStream <i>Room: Capitol & Congress</i>	App Showcase Policy and Document management App Stephanie Jenkins , Associate Director, MetricStream Kamlesh Chandrashekar , Manager, MetricStream <i>Room: Supreme Court</i>
5:35-6:30	Networking Reception - <i>Room: Independence Ballroom</i>				

Day 2 Tuesday, April 26, 2016

7:00-8:30	Breakfast & Networking <i>Room: Independence Ballroom</i>				
8:30-9:00	Keynote: Leading with GRC Gunjan Sinha , Executive Chairman, MetricStream <i>Room: Liberty Ballroom</i>				
9:00-9:30	GRC for High Performers Vasant Balasubramanian , SVP Product Management, MetricStream <i>Room: Liberty Ballroom</i>				
9:30-10:20	Making GRC Simple: A C-Level Discussion Pat Murphy , VP Internal Audit & CRO, Anthem Dr. R. Seetharaman , Group Chief Executive Officer, Doha Bank Deon Minnaar , Global Lead Partner ERM & GRC, KPMG Leo Mackay , Corporate VP Ethics & Sustainability, Lockheed Martin Corporation Moderator: Gaurav Kapoor , Chief Operating Officer, MetricStream <i>Room: Liberty Ballroom</i>				
10:20-10:50	Networking Break - <i>Room: Independence Ballroom</i>				
10:30-10:50	Vendor Theatre by BitSight: Vendor Risk Management <i>Room: Independence Ballroom</i>				
Function Specific Breakouts					
10:50-11:40	OpRisk Management Aligning Operational Risk into Business Management Joseph Monks , CRO, MarketAxess Rachel Medlin , Director ERM, OCWEN Financial Piyush Srivastava , VP & Head, Capital Markets Consulting, BFS, VirtusaPolaris Moderator: Ryan Rodriguez-Wiggins , Senior Director, MetricStream <i>Room: Liberty Ballroom</i>	Regulatory Change Management Strategies to Manage Regulatory Change and Compliance Risk Mark Hodges , Chief Quality Officer, BAYADA Home Health Care Margaux Frazee , Director of Corporate Compliance, CareSource Michael Cover , Business Compliance Oversight Office, BCBS Michigan Moderator: Mike Milton , VP, MetricStream <i>Room: Archives</i>	Analyst Presentation Internet of Things: Risks and Rewards Renee Murphy , Principal Analyst, Forrester Research <i>Room: Monument</i>	Audit Management Collaboration Between the 2nd and 3rd Line of Defense: Challenges and Opportunities Isabel Smith , Director Internal Audit, Johnson & Johnson Debra Chiericoni , Senior Audit Manager - VP, T. Rowe Price Carl Paratore , General Auditor, Tufts Health Plan Sundar Krishna , Practice Partner, Wipro Moderator: Kristen Gantt , Regional VP, MetricStream <i>Room: Capitol & Congress</i>	App Showcase IT GRC App Vibhav Agarwal , Associate Director, MetricStream Kamlesh Chandrashekar , Manager, MetricStream <i>Room: Supreme Court</i>

AGENDA

11:45-12:35	<p>Enterprise Risk Management Incorporating Corporate Strategies and Values into an ERM Program Peter O'Neill, CRO, Uniper Global Commodities Aretina Trepczyk, VP & Enterprise Risk Manager, Umpqua Bank Thomas H Stanton, Past President, and Board Member AFERM Guillermo Cisneros, SVP, First Data Corporation Edmund Green, Managing Director, KPMG Moderator: Anthony Bria, Director, MetricStream Room: Liberty Ballroom</p>	<p>Supplier Governance Strategies to Increase Supplier Ownership for Improved Supply Chain Performance Mark Boileau, Global Trade Compliance Manager, W.W. Grainger Dan Persica, Sustainability Communications Manager, Domtar Chris Murphey, Director-Corporate Procurement - Risk Based Supplier Management Program, Express Scripts Moderator: Sonal Sinha, VP, MetricStream Room: Archives</p>	<p>Analyst Presentation Put your Money Where your Mouth is: Utilizing GRC to Live and Breathe Corporate Values, Ethics, Obligations & Boundaries Michael Rasmussen, Chief GRC Pundit, GRC 20/20 Research Room: Monument</p>	<p>IT Risk Management Key IT Risk Imperatives: Taking Control of Information in the Digital Enterprise Brian Compton, Information Services Manager, MD Anderson Cancer Center Rob Younce, Lead Auditor, VP, Vendor Information Risk Management, BNY Mellon Mayank Trivedi, Associate General Manager, HCL Technologies Moderator: Michele Sperle, VP, MetricStream Room: Capitol & Congress</p>	<p>App Showcase MetricStream GRC Platform Vasant Balasubramanian, SVP, MetricStream Manoj Ramanujan, Senior Director, MetricStream Room: Supreme Court</p>
12:35-1:30	Networking Lunch - Room: Independence Ballroom				
1:10 – 1:30	Vendor Theatre by CSC: Risk, Risk, Risk - Where's the Reward? Room: Independence Ballroom				
Function Specific Breakouts					
1:30-2:20	<p>Vendor / Third-Party Risk Management Third party Risk Management- Building a Robust Approach Tony Bailey, SVP, Umpqua Bank Sonia Sng, Senior Director-Global Data Security & Third Party Risk, Visa Shri Seshan, Experienced Functional & IT Program Delivery Leader, GE Capital Craig Morgan, MD, Vendor Management, Charles Schwab Sridhar Mukka, Senior Consultant, Wipro Moderator: Susan Palm, SVP, MetricStream Room: Liberty Ballroom</p>	<p>Business Continuity Management BCM - A Pathway to Organizational Resilience William Simmons, VP Business Continuity, BMO Dennis Wenk, Global Director Advisory, Consulting, Continuity and Resiliency, Veritas Technologies David Greb, BCM / Compliance Team Lead, AIG Gene Buerkle, Director, US Business Resilience National Grid Moderator: Terence Lee, Regional VP, MetricStream Room: Archives</p>	<p>Quality Management Growing Importance of Quality, Risk and Compliance Management Inez Tenenbaum, Former Chairman, U.S. Consumer Product Safety Commission Dr. Mark Hodgkinson, Operational Excellence Manager, BAPCO Vidyadhar Phalke, CTO, MetricStream Moderator: Ed Park, Regional VP, MetricStream Room: Monument</p>	<p>Corporate Compliance Cross-Industry Perspectives on the Evolving Role of Compliance John Sardar, CCO, Noble Energy Rudy Rouhana, Senior Director of Compliance, Credit Karma Judy Sarles, Director, iACT, Kaiser Permanente Moderator: Bert Clement, CFO, MetricStream Room: Capitol & Congress</p>	<p>App Showcase Operational Risk App Aneesh Bhatnagar, Director, MetricStream Pari Sawant, Senior Director, MetricStream Room: Supreme Court</p>
2:30-3:20	<p>Panel Discussion GRC and Security Strategy Talk Randy Sloan, Managing Director, Citi Renee Murphy, Principal Analyst, Forrester Research Venkatesh Yerrapotu, EVP, MetricStream Moderator: Gaurav Kapoor, Chief Operating Officer, MetricStream Room: Liberty Ballroom</p>				
3:20-3:50	Networking Break Room: Independence Ballroom				

Case Study Presentations

3:50-4:40	Operational Risk 'Build a Bridge and a Road Appears' Mantra to a Successful OpRisk Program Shari Breiten , Director of Operational Risk, Principal Financial Group Ryan Howard , Regional VP, MetricStream <u>Room: Liberty Ballroom</u>	IT GRC Best Practices in Implementing an IT GRC Program Mohammad A. Aziz , AVP, Head of GRC, Riyad Bank Thomas Ludwig , VP, MetricStream <u>Room: Archives</u>	Supplier Governance Supplier Assessment / Compliance – A Conflict Minerals Case Study of Energizer Holdings Carin Stuart , Associate Manager, Regulatory Affairs, Energizer Sam Mukherjee , Senior Manager, MetricStream <u>Room: Capitol & Congress</u>	App Showcase Regulatory Change Management App Maria Devassy , Director, MetricStream <u>Room: Supreme Court</u>
4:45-5:30	The Past, Present and Future of Integrated GRC French Caldwell , Chief Evangelist, MetricStream <u>Room: Liberty Ballroom</u>			
5:30-6:30	Networking Reception <u>Room: Independence Ballroom</u>			
6:30- 7:30	GRC Journey Awards, Gala Dinner and Entertainment <u>Room: Liberty Ballroom</u>			
7:30- 9:00	Gala Dinner and Entertainment by Capitol Steps <u>Room: Liberty Ballroom</u>			

Day 3 Wednesday, April 27, 2016

7:00-8:30	Breakfast and Networking - <u>Room: Independence Ballroom</u>
8:30-9:00	Opening Keynote Suzanne Spaulding , Under Secretary, National Protection and Programs Directorate, Department of Homeland Security <u>Room: Liberty Ballroom</u>
9:00-9:50	Panel Discussion Cybersecurity and Privacy - Can we Have Both? Robert F. Brese , VP, Executive Partner, US Public Sector, Gartner Inc. Luke Dembosky , Partner, Debevoise & Plimpton's Cybersecurity & Data Privacy group Anne C. Bader , Principal, Bader Resources LLC and Founder at The International Cybersecurity Dialogue; and Senior Associate Fellow at The Institute for Statecraft Julian Sanchez , Senior Fellow, Cato Institute Dr. Edward G. Amoroso , Former SVP and CSO, AT&T French Caldwell , Chief Evangelist, MetricStream Moderator: Brenda Boulwood , SVP, MetricStream <u>Room: Liberty Ballroom</u>
9:50-10:20	Networking Break - <u>Room: Independence Ballroom</u>

Case Study Presentations

<p>10:20-11:05</p>	<p>Compliance Management Simplifying Healthcare Compliance Margaux Frazee, Director of Corporate Compliance, CareSource Sean Woodson, GRC System Implementation Lead, CareSource Melba Gant, Associate Director, MetricStream Surya Prakash, Associate Director, MetricStream Room: Liberty Ballroom</p>	<p>Safety and Compliance Reshaping Organization Culture to Manage Risk and Compliance Natalie Bamford, VP Safety, dnata Shankar Bhaskaran, VP & GM International Business Operations, MetricStream Room: Archives</p>	<p>App Showcase MetricStream GRC Platform Vasant Balasubramanian, SVP, MetricStream Room: Supreme Court</p>	
<p>11:10-12:00</p>	<p>GRC Journey Panel GRC Journeys - Successes and Learnings Kimberly Burnette Wood, Manager, Data/Business Solutions, USAA Joe Cooney, VP Internal Audit, Juniper Networks Isabel Smith, Director Internal Audit, Johnson & Johnson Joseph Monks, CRO, MarketAxess Moderator: Yo Delmar, VP, MetricStream Room: Liberty Ballroom</p>	<p>Vendor Risk Management Ongoing Monitoring of a Vendor Risk Management Program Nandini Vyakaranam, Business Analyst, MetricStream Room: Archives</p>	<p>Quality Management Enterprise-Wide Integration of Quality and Risk Management Dr. Mark Hodgkinson, Operational Excellence Manager, BAPCO Shyamakrishna Manjunathaiah Kattepur, VP, MetricStream Durgadutt Nedungadi, VP, MetricStream Room: Capitol & Congress</p>	<p>App Showcase Internal Audit App Vasant Balasubramanian, SVP, MetricStream Vikram Khatri, Product Manager, MetricStream Room: Supreme Court</p>
<p>12:00-1:00</p>	<p>Networking Lunch Room: Independence Ballroom</p>			
<p>1:00-1:30</p>	<p>Featured Keynote: Mark Bertolini, CEO & Chairman, Aetna Room: Liberty Ballroom</p>			
<p>1:30-2:15</p>	<p>Mastermind Interview Mark Bertolini, CEO & Chairman, Aetna Shellye Archambeau, CEO, MetricStream Room: Liberty Ballroom</p>			
<p>2:15- 2:30</p>	<p>Concluding Remarks: Shellye Archambeau, CEO, MetricStream Room: Liberty Ballroom</p>			
<p>2:30</p>	<p>Conference Conclusion</p>			